## Homework Handout V

- A. Let  $\mathcal{V}$  be a two-dimensional traditional vector space with standard basis  $\mathcal{A} = \{i, j\}$ . Using this basis for  $\mathcal{V}$ , do the following (unless otherwise indicated, view  $\mathcal{V}$  as both the "input space" and the "output space").<sup>1</sup>
  - 1. Find the matrix for the "magnification by 2" operator given by  $\mathcal{M}_2(\mathbf{v}) = 2\mathbf{v}$ .
  - 2. Find the matrix for the projection onto the **i** vector,  $\overrightarrow{pr}_{i}(v_{1}\mathbf{i} + v_{2}\mathbf{j}) = v_{1}\mathbf{i}$ .
  - 3. Find the matrix for the vector projection onto  $\mathbf{a}$ ,  $\overrightarrow{pr}_{\mathbf{a}}(\mathbf{v})$ , where  $\mathbf{a} = 2\mathbf{i} + 1\mathbf{j}$ . Then use the matrix to find  $\overrightarrow{pr}_{\mathbf{a}}(\mathbf{v})$  when  $\mathbf{v} = 5\mathbf{i} 4\mathbf{j}$ .
  - 4. Find the matrix for the linear operator  $\mathcal{L}$  such that  $\mathcal{L}(\mathbf{i}) = 2\mathbf{i} 4\mathbf{j}$  and  $\mathcal{L}(\mathbf{j}) = 3\mathbf{i} 5\mathbf{j}$ . Then use the matrix to find  $\mathcal{L}(\mathbf{v})$  when  $\mathbf{v} = 5\mathbf{i} 4\mathbf{j}$
  - 5. Find the matrix for  $\mathcal{R}_{\theta}$ , the rotation of every vector counterclockwise by a fixed angle  $\theta$ . Then use the matrix to find  $\mathcal{R}_{\theta}(\mathbf{v})$  when  $\theta = \frac{\pi}{6}$  and  $\mathbf{v} = 5\mathbf{i} 4\mathbf{j}$
  - 6. Find the matrix for the rotation of every vector clockwise by a fixed angle  $\theta$ .
  - 7. Find the matrix for the dot product with  $\mathbf{a} = 2\mathbf{i} + 3\mathbf{j}$ ,  $\mathcal{D}_{\mathbf{a}}(\mathbf{v}) = \mathbf{a} \cdot \mathbf{v}$ . (In this case, the "target space" is the space of all real numbers  $\mathbb{R}$ . Use  $\{1\}$  as the basis for  $\mathbb{R}$ .)
- **B.** Let  $\mathcal{V}$  be a three-dimensional traditional vector space with standard basis  $\mathcal{A} = \{i, j, k\}$ . Using this basis for  $\mathcal{V}$ , do the following (unless otherwise indicated, view  $\mathcal{V}$  as both the "input space" and the "output space").
  - 1. Find the matrix for the "magnification by 2" operator given by  $\mathcal{M}_2(\mathbf{v}) = 2 \mathbf{v}$ .
  - 2. Find the matrix for the vector projection onto  $\mathbf{a}$ ,  $\overrightarrow{pr}_{\mathbf{a}}(\mathbf{v})$ , where  $\mathbf{a} = 2\mathbf{i} \mathbf{j} + \mathbf{k}$ . Then use the matrix to find  $\overrightarrow{pr}_{\mathbf{a}}(\mathbf{v})$  when  $\mathbf{v} = 5\mathbf{i} - 7\mathbf{j} + 9\mathbf{k}$ .
  - 3. Find the matrix for the projection onto the plane spanned by the **i** and **j** vectors,  $\overrightarrow{pr}_{\{i,j\}}(v_1\mathbf{i} + v_2\mathbf{j} + v_3\mathbf{k}) = v_1\mathbf{i} + v_2\mathbf{j}$ .
  - 4. Find the matrix for R<sub>k,θ</sub>, the rotation of every vector about k by a fixed angle θ. (This means that vectors parallel to k do not move, while the vectors in the plane spanned by {i, j} are rotated — as in a previous exercise by the angle θ in the direction the fingers of your right hand would curl if your thumb points in the direction of k.) (Compare with the above two-dimensional "clockwise rotation" problem.)

Then use the matrix to find  $\mathcal{R}_{\mathbf{k},\theta}(\mathbf{v})$  when  $\theta = \frac{\pi}{6}$  and  $\mathbf{v} = 5\mathbf{i} - 4\mathbf{j} + 3\mathbf{k}$ .

<sup>&</sup>lt;sup>1</sup>Be intelligent. Where posssible, find the matrix for the operation using the "boxed formula" or its slightly simplified version for the case where  $\mathcal{V} = \mathcal{W}$  (in the notes **Elementary Linear Transform Theory**).

- 5. Find the matrix for  $\mathcal{R}_{\mathbf{i},\theta}$ , the rotation of every vector about  $\mathbf{i}$  by a fixed angle  $\theta$ . Then use the matrix to find  $\mathcal{R}_{\mathbf{i},\theta}(\mathbf{v})$  when  $\theta = \frac{\pi}{4}$  and  $\mathbf{v} = 5\mathbf{i} 4\mathbf{j} + 3\mathbf{k}$ .
- 6. (optional) Find the matrix for  $\mathcal{R}_{\mathbf{j},\theta}$ , the rotation of every vector about  $\mathbf{j}$  by a fixed angle  $\theta$ .
- 7. Find the matrix for the dot product with a = 2i + 3j + 4k, D<sub>a</sub>(v) = a · v. (In this case, the "target space" is the space of all real numbers R. Use {1} as the basis for R.)
- 8. Find the matrix for the cross product with  $\mathbf{a} = 2\mathbf{i} + 3\mathbf{j} + 4\mathbf{k}$ ,  $\mathcal{K}_{\mathbf{a}}(\mathbf{v}) = \mathbf{a} \times \mathbf{v}$ . Then use the matrix to find  $\mathcal{K}_{\mathbf{a}}(\mathbf{v})$  when  $\mathbf{v} = 5\mathbf{i} 7\mathbf{j} + 9\mathbf{k}$ .
- **C.** Let  $\mathcal{P}^3$  be the vector space of all third-degree polynomials. Remember, this is the set of every function p of the form

$$p(z) = \alpha_0 + \alpha_1 z + \alpha_2 z^2 + \alpha_3 z^3$$

where the  $\alpha_k$ 's are complex constants. For the following, use the basis

$$\mathcal{B} = \left\{ 1 \, , \, z \, , \, z^2 \, , \, z^3 \, \right\}$$

and the inner product

$$\langle p | q \rangle = \int_0^1 p^*(x) q(x) dx$$
 .

- 1. Let  $\mathcal{D}$  be the basic differential operator  $\mathcal{D}(p(z)) = p'(z)$ . Verify that this is a linear operator on  $\mathcal{P}^3$  (with  $\mathcal{P}^3$  also being the target space), and find the matrix **D** for this operator.
- 2. Let  $\mathcal{J}$  be the integral operator

$$\mathcal{J}(p(z)) \,=\, \int_0^1 p(x)\,\sqrt{x}\,\,dx$$

Verify that this is a linear operator on  $\mathcal{P}^3$  (with  $\mathbb{C}$  being the target space), and find the matrix J for this operator.

3. (optional) Let  $\mathcal{J}$  be the integral operator just defined. Find a single polynomial r(z) in  $\mathcal{P}^3$  such that

$$\mathcal{J}(p(z)) = \langle r | p \rangle$$
 for every  $p \in \mathcal{P}^3$ 

**D.** The theory we developed does not just apply to finite-dimensional vector spaces. We can apply it to linear operators on an infinite-dimensional vector space just as well, provided this space has a basis. Of course, the matrices may have infinitely many rows or columns. Keeping this in mind, Let  $\mathcal{P}$  be the space of all polynomials. This space has basiss

$$\mathcal{B} = \{1, z, z^2, z^3, \dots\}$$
.

Using this basis,

- 1. Find the matrix **D** for the basic differential operator  $\mathcal{D}(p(z)) = p'(z)$ .
- *2.* Verify that

$$\mathcal{J}(p(z)) = \int_0^1 p(x) \sqrt{x} \, dx$$

is a linear operator on  $\mathcal{P}$  (with  $\mathbb{C}$  being the target space), and find the matrix J for this operator.

*E*. Let  $\mathcal{V}$  be the vector space of all functions on the interval (0, 1) that can be written as linear combinations of the complex exponentials in the set

$$\mathcal{B} = \left\{ \mathbf{b}_n = e^{i2\pi nx} : n \text{ is any integer} \right\}$$

Note that  $\mathcal{B}$  is a basis for this space. For this space, use the "energy norm" inner productt

$$\left< \, f \, | \, g \, \right> \, = \, \int_0^1 \! f^*(x) \, g(x) \, dx$$

- 1. If you have not already done so, verify that  $\mathcal{B}$  is orthogonal with respect to this inner product.
- 2. Find the entries in the  $\infty \times \infty$  matrix for the basic second-order differential operator  $\Delta(f) = f''$ .

*F.* Let  $\mathcal{V}$  be a two-dimensional traditional vector space with standard basis  $\mathcal{A} = \{\mathbf{i}, \mathbf{j}\}$ , and let  $\mathcal{B} = \{\mathbf{b}_1, \mathbf{b}_2\}$  be the orthonormal basis given by

$$\mathbf{b}_1 = \frac{1}{\sqrt{5}} [2\mathbf{i} + \mathbf{j}]$$
 and  $\mathbf{b}_2 = \frac{1}{\sqrt{5}} [\mathbf{i} - 2\mathbf{j}]$ 

Go ahead and compute  $M_{AB}$  and  $M_{BA}$  for use in the finding the matrix with respect to B for each of the following linear transforms:

- 1. The "magnification by 2" operator given by  $\mathcal{M}_2(\mathbf{v}) = 2\mathbf{v}$ . (You found the corresponding matrix with respect to  $\mathcal{A}$  in problem A1.)
- **2.** The operator  $\mathcal{L}$  for which  $\mathbf{L}_{\mathcal{A}} = \begin{bmatrix} 2 & 3 \\ 4 & 5 \end{bmatrix}$ .
- 3. The vector projection onto  $\mathbf{a}$ ,  $\overrightarrow{pr}_{\mathbf{a}}(\mathbf{v})$ , where  $\mathbf{a} = 2\mathbf{i} + 1\mathbf{j}$ . (You found the corresponding matrix with respect to  $\mathcal{A}$  in problem  $\mathcal{A3}$ .) (You should get a particularly simple matrix. Why?)
- 4. The linear operator  $\mathcal{L}$  given in problem A4.
- 5. The "counterclockwise rotation by angle  $\theta$ " operator  $\mathcal{R}_{\theta}$  given in problem A4.
- **G.** Let  $\mathcal{V}$  be a three-dimensional traditional vector space with standard basis  $\mathcal{A} = \{\mathbf{i}, \mathbf{j}, \mathbf{k}\}$ , and let  $\mathcal{B} = \{\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3\}$  be given by

$$\mathbf{b}_1 = \frac{1}{\sqrt{5}} [\mathbf{i} + 2\mathbf{j}]$$
,  $\mathbf{b}_2 = \frac{1}{\sqrt{6}} [2\mathbf{i} - \mathbf{j} + \mathbf{k}]$ .

and

$$\mathbf{b}_3 = \mathbf{b}_1 \times \mathbf{b}_2 = \frac{1}{\sqrt{30}} [2\mathbf{i} - \mathbf{j} - 5\mathbf{k}]$$

In problem F in Homework Handout IV, you verified that  $\mathcal{B}$  is orthonormal, and you computed (I hope)  $\mathbf{M}_{\mathcal{A}\mathcal{B}}$  and  $\mathbf{M}_{\mathcal{B}\mathcal{A}}$ . Using this, find the matrix with respect to  $\mathcal{B}$  for each of the following linear transforms:

- 1. The vector projection onto  $\mathbf{a}$ ,  $\overrightarrow{pr}_{\mathbf{a}}(\mathbf{v})$ , where  $\mathbf{a} = 2\mathbf{i} \mathbf{j} + \mathbf{k}$ . (You found the corresponding matrix with respect to  $\mathcal{A}$  in problem B2.) (You should get a particularly simple matrix. Why?)
- 2. The cross product with  $\mathbf{a} = 2\mathbf{i} + 3\mathbf{j} + 4\mathbf{k}$ ,  $\mathcal{K}_{\mathbf{a}}(\mathbf{v}) = \mathbf{a} \times \mathbf{v}$ . (You found the corresponding matrix with respect to  $\mathcal{A}$  in problem **B8**.)

- *H*. Let  $\mathcal{V}$ ,  $\mathcal{A}$  and  $\mathcal{B}$  be the vector space and orthonormal basis from the previous problem. In the following problems, finding the matrix of the given operator with respect to  $\mathcal{B}$  should be 'easy'. Use this fact and the change of basis formulas to also find the matrix with respect to  $\mathcal{A}$  for the given operator.
  - 1. The projection onto the plane spanned by the  $\mathbf{b}_1$  and  $\mathbf{b}_2$  vectors,  $\overrightarrow{\mathrm{pr}}_{\{\mathbf{b}_1,\mathbf{b}_2\}}(v_1\mathbf{b}_1 + v_2\mathbf{b}_2 + v_3\mathbf{b}_3) = v_1\mathbf{b}_1 + v_2\mathbf{b}_2$ . Find the matrix of this operator first with respect to  $\mathcal{B}$  and then with respect to  $\mathcal{A}$ .
  - 2. The cross product with  $\mathbf{b}_3$ ,  $\mathcal{K}_{\mathbf{b}_3}(\mathbf{v}) = \mathbf{b}_3 \times \mathbf{v}$ . Find the matrix of this operator first with respect to  $\mathcal{B}$  and then with respect to  $\mathcal{A}$ .
- *I*. Here we are considering traditional vectors in 3dimensional space with standard basis

$$\mathcal{A} = \{\mathbf{i}, \mathbf{j}, \mathbf{k}\}$$

Let  $\phi$  be some angle, let  $\mathbf{b}_3$  be some unit vector, and let  $\mathcal{R}$  be the linear transformation which is the rotation through angle  $\phi$  about  $\mathbf{b}_3$ .

(See the figure, in which  $\mathbf{w} = \mathcal{R}(\mathbf{v})$ .)

Using 
$$\phi = \frac{\pi}{6}$$
 and  $\mathbf{b}_3 = \frac{1}{\sqrt{2}}(\mathbf{i} + \mathbf{j})$  :

- *I*. Find an orthonormal basis  $\mathcal{B} = \{\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3\}$  for which  $\mathbf{R}_{\mathcal{B}}$ , the matrix for  $\mathcal{R}$  with respect to  $\mathcal{B}$ , is relatively simple and easily computed (hint: I gave you  $\mathbf{b}_3$ ). Express each  $\mathbf{b}_k$  in terms of  $\mathbf{i}$ ,  $\mathbf{j}$ , and  $\mathbf{k}$ , and compute that matrix  $\mathbf{R}_{\mathcal{B}}$ .
- 2. Finding and using the appropriate unitary matrices, compute the matrix  $\mathbf{R}_{\mathcal{A}}$  for  $\mathcal{R}$  with respect to the standard basis  $\mathcal{A} = \{\mathbf{i}, \mathbf{j}, \mathbf{k}\}$ .
- 3. Find  $\mathbf{w} = \mathcal{R}(\mathbf{v})$  where  $\mathbf{v} = 1\mathbf{i} + 2\mathbf{j} + 3\mathbf{k}$ .





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- **K.** (optional) "Linear functionals" and the "Riesz theorem":
  - 1. Let  $\mathcal{V}$  and  $\mathcal{W}$  be two vector spaces, and let  $\mathcal{L}[\mathcal{V}, \mathcal{W}]$  denote the collection of all linear operators from  $\mathcal{V}$  into  $\mathcal{W}$ . Verify that  $\mathcal{L}[\mathcal{V}, \mathcal{W}]$  is, itself, a vector space (with the "vectors" being the linear operators).<sup>2</sup>
  - A *linear functional* on a vector space V is simply a linear operator from V into C. In the terms given just above, a linear functional on V is one of the linear operators in L[V, C]. The space of all linear functionals on V (i.e., the space L[V, C]) is often called the *dual space of* V.
    - *a*. Let **g** be any fixed vector in  $\mathcal{V}$  and define the operator  $\Gamma_{\mathbf{g}}$  by  $\Gamma_{\mathbf{g}}(\mathbf{v}) = \langle \mathbf{g} | \mathbf{v} \rangle$ . Show that  $\Gamma_{\mathbf{g}}$  is a linear functional on  $\mathcal{V}$ .

Now assume  $\mathcal{V}$  is finite dimensional with orthonormal basis  $\mathcal{B} = \{ \mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_N \}$ . Let  $\Gamma$  be any linear functional on  $\mathcal{V}$  and do the following:

- **b.** Determine the size of the matrix **G** for  $\Gamma$ , and give the formula for finding its entries.
- c. Show that there is a vector  $\mathbf{g}$  in  $\mathcal{V}$  such that

 $\Gamma(\mathbf{v}) = \langle \mathbf{g} | \mathbf{v} \rangle$  for each  $\mathbf{v}$  in  $\mathcal{V}$ .

(The Riesz theorem for finite-dimensional vector spaces is that, for each linear functional  $\Gamma$  on a finite-dimensional vector space  $\mathcal{V}$  with inner product, there is a corresponding vector  $\mathbf{g}$  in  $\mathcal{V}$  such that the above equation holds. You've just proven it! This theorem can be generalized to some (but not all) cases where  $\mathcal{V}$  is infinite dimensional. The cases where it can be generalized can be rather significant.)

*L*. (optional – assumes you've at least read the previous problem) Consider  $\mathcal{P}$ , the space of all polynomials with the energy norm inner product given in problem D, along with the integral operator  $\mathcal{J}$  defined by

$$\mathcal{J}(p(z)) = \int_0^1 p(x) \sqrt{x} \, dx \quad .$$

- **d.** Verify that  $\mathcal{J}$  is a linear functional on  $\mathcal{P}$ .
- e. Verify that there is no polynomial r such that

$$\mathcal{J}(p(z)) = \langle r | p \rangle$$
 for every  $p \in \mathcal{P}^3$ 

(Hence, the Riesz theorem does not hold for  $\mathcal{P}$ .)

<sup>&</sup>lt;sup>2</sup>Linear operators on this space are called *tensors*. They can be represented by "matrices of matrices".